

## DISTRICT REGISTRATION FORM\*



When completed, mail to:  
**UTILITIES AND DISTRICTS SECTION, MC-152**  
**TEXAS COMMISSION ON ENVIRONMENTAL QUALITY**  
**PO BOX 13087**  
**AUSTIN TX 78711-3087**  
 or fax to: 512/239-6190

**TAX ID: 74-2771760**

Legal Name of District or Authority: Travis County Municipal Utility District No. 9  
 District's Mailing Address: c/o Armbrust & Brown, PLLC, 100 Congress Avenue, Suite 1300  
 City: Austin Zip: 78701-2744  
 District's Telephone Number: (512) 435-2300

**A. BOARD MEMBERS:**

| TITLE             | FULL NAME OF DIRECTOR<br>(First, M.I., Last) | FULL MAILING ADDRESS<br>(According to U.S. Post Office Standards,<br>www.usps.com) | TELEPHONE NUMBERS |     |      | TERM OF OFFICE   |                             |                           |
|-------------------|--|--|-------------------|-----|------|--|-----------------------------|---------------------------|
|                   |  |  | Business          | Fax | Home | Elected (E)<br>Appointed (A)<br>Elected by<br>Precinct (P) | Term Begins<br>(mm/dd/yyyy) | Term Ends<br>(mm/dd/yyyy) |
| President         | John M. Bernardoni                           | 5114 Balcones Woods Drive, Suite 307, Austin, TX 78759                             | (512) 263-5544    |     |      | E  | 11/08/2016                  | 11/03/2020                |
| Vice President    | Paul Viktorin                                | 5316 Hwy 290 West, Suite 150, Austin, TX 78735                                     | (512) 439-4700    |     |      | E  | 11/08/2016                  | 11/03/2020                |
| Secretary         | Rick Westervelt                              | 5205 Scenic View Drive, Austin, TX 78746   | (512) 799-6385    |     |      | E  | 11/06/2018                  | 11/08/2022                |
| Treasurer         | Stephen L. Gelé                              | 3823-A Bee Cave Road, Austin, TX 78746   | (512) 328-5474    |     |      | E  | 11/06/2018                  | 11/08/2022                |
| Asst. Sec./Treas. | Derek Mizner                                 | 2311 Rundell Place, Austin, TX 78704   | (512) 328-5474    |     |      | E  | 11/08/2016                  | 11/03/2020                |

(Note: List information for additional directors on back)

**B. CONSULTANTS AND REPRESENTATIVES (as applicable):**

| POSITION          | FULL NAME OF INDIVIDUAL | NAME OF FIRM OR ORGANIZATION         | FULL MAILING ADDRESS<br>(According to U.S. Post Office Standards, www.usps.com) | TELEPHONE NUMBERS |                |
|-------------------|-------------------------|--------------------------------------|---|-------------------|----------------|
|                   |                         |                                      |   | Business          | Fax            |
| General Manager   |                         |                                      |   |                   |                |
| Operator          | Mike Morin              | Crossroads Utility Services LLC      | 2601 Forest Creek Drive, Round Rock, Texas 78665                                | (512) 246-1400    | (512) 246-1900 |
| Attorney          | Gregg C. Krumme         | Armbrust & Brown, PLLC               | 100 Congress Avenue, Suite 1300, Austin, Texas 78701-2744                       | (512) 435-2300    | (512) 435-2360 |
| Engineer          | Ken Schroeder           | Schroeder Engineering Company        | 1015 Bee Cave Woods, Suite 203, Austin, Texas 78746                             | (512) 469-7990    | (512) 347-0998 |
| Bookkeeper        | Allen Douthitt          | Bott & Douthitt, P.L.L.C.            | P.O. Box 2445, Round Rock, Texas 78680  | (512) 733-0700    | (512) 733-0704 |
| Financial Advisor | Cheryl Allen            | Public Finance Group LLC             | 900 South Capital of Texas Hwy., Bldg. IV, Suite 475, Austin, Texas 78746       | (512) 382-5420    | (512) 382-5490 |
| Tax Collector     | Bruce Elfant            | Travis County Tax Assessor/Collector | 5501 Airport Blvd., Austin, Texas 78751   | (512) 854-9473    | (512) 854-9235 |
| Agent for Notice  | Gregg C. Krumme         | Armbrust & Brown, PLLC               | 100 Congress Avenue, Suite 1300, Austin, Texas 78701-2744                       | (512) 435-2300    | (512) 435-2360 |
|                   |                         |                                      |   |                   |                |
|                   |                         |                                      |   |                   |                |

\* ALL INFORMATION IS SUBJECT TO THE OPEN RECORDS ACT AND WILL BE MADE AVAILABLE ON OUR WEB SITE (WWW.TCEQ.STATE.TX.US).

## DISTRICT REGISTRATION FORM (continued)

**A. BOARD MEMBERS: (continued)**

| TITLE | FULL NAME OF DIRECTOR<br>(First, M.I., Last) | FULL MAILING ADDRESS<br>(According to U.S. Post Office Standards,<br>www.usps.com) | TELEPHONE NUMBERS |     |      | TERM OF OFFICE   |                             |                           |
|-------|--|--|-------------------|-----|------|--|-----------------------------|---------------------------|
|       |  |  | Business          | Fax | Home | Elected (E)<br>Appointed (A)<br>Elected by<br>Precinct (P) | Term Begins<br>(mm/dd/yyyy) | Term Ends<br>(mm/dd/yyyy) |
|       |  |  |                   |     |      |  |                             |                           |
|       |  |  |                   |     |      |  |                             |                           |
|       |  |  |                   |     |      |  |                             |                           |
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|       |  |  |                   |     |      |  |                             |                           |
|       |  |  |                   |     |      |  |                             |                           |

**CERTIFICATION:** I certify that the information contained herein is correct and complete to the best of my knowledge.

*Denise L. Motal*

Signature/Title

*Legal Assistant to  
Attorney for District*

Denise L. Motal  
Printed Name

(512) 435-2300  
Telephone

*2-7-19*

Date

If you have questions on how to fill out this form or about the Water Districts program, please contact us at 512/239-4691. Individuals are entitled to request and review their personal information that the agency gathers on its forms. They may also have any errors in their information corrected. To review such information, contact us at 512/239-3282.

**C. ADDITIONAL STATUTORY REQUIREMENTS (Texas Water Code):**

1. *Requirements generally applicable to most district and authorities:*

- a. §49.055(d). File copies of directors' sworn statements, bonds, and oaths with the District's records. File copies of directors' sworn statements and oaths with the Secretary of State within 10 days.
- b. §36.054(e) and §49.054(f). File names, mailing addresses and terms of new directors with TCEQ within 30 days after election or appointment.
- c. Audit and financial reports:
  - (1) §49.194(a). File audit with the TCEQ within 135 days of fiscal year end, or §49.197(d). File financial dormancy affidavit with the TCEQ by January 31, or §49.198(c). File annual financial report with the TCEQ within 45 days of fiscal year end.
  - (2) §49.194(c). File audit, financial dormancy affidavit, or financial report with the District's records.
  - (3) §49.194(d). Submit annual filing affidavit to the TCEQ with either audit, financial dormancy affidavit, or financial report.
  - (4) §49.158. Notify the TCEQ of adoption of a fiscal year within 30 days of initial financial activity or after a change in the District's fiscal year.
- d. §49.199(a). Adopt a code of ethics and other policies and procedures.

2. *Requirements applicable to certain district and authorities, as specified in the statutes:*

- a. §49.453. File with the TCEQ the name, address and telephone number of the District's Agent for Notice, the person responsible for issuing forms to comply with the Notice to Purchaser requirements of §49.452.
- b. §49.455. File information form and map, or any amendments, with each county and the TCEQ.
- c. §49.451. Post district name signs at two entrances to the District within 30 days of the District's creation.
- d. §49.062. Publish and file with the TCEQ a resolution establishing a meeting place outside the District.
- e. §49.307(b), §49.301(f) & §49.302. File orders excluding and annexing land with each county and the TCEQ.